

Schools Funding Forum 3rd November 2020 ITEM 6

Subject Heading:

DSG Management Plan 2020-21

Report Author:

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(Schools)**

Eligibility to vote:

All members

SUMMARY

This report introduces the DSG Management Plan 2020-21 template, recently released by the DfE, and examines the accompanying High Needs benchmarking data

RECOMMENDATIONS

To note the report.

REPORT DETAIL

1. The Requirement to Complete a DSG Management Plan

The Dedicated Schools Grant (DSG) conditions of grant 2020 requires that any LA with an overall deficit on its DSG account at the end of the 2019 to 2020 financial year, or whose DSG surplus has substantially reduced during the year, must be able to present a plan to the Department for Education (DfE) for managing their future DSG spend. LAs will be required to share this information with their stakeholders, such as schools forums, parent and carer forum, local head teacher boards or groups.

This is the first year that all LAs with a deficit on the DSG have been required to produce such a plan. Previously LAs with a deficit of greater than 1% were required to produce a deficit recovery plan but there was no standard format.

At the end of the 2019-20 financial year, Havering had a deficit of £1.13m on the DSG. A detailed breakdown of this was presented to Schools' Funding Forum at their meeting on 18 June 2020.

The High Needs Block overspent by £4.6m in 2019-20. This was offset by a £1.3m DSG underspend carried forward from the 2018-19 financial year and £2.2m of underspends in other areas of the Dedicated Schools Budget (DSG) in financial year 2019-20. There remains a deficit carried forward into financial year 2020-21 of £1.13m.

As outlined in a report to the last meeting of the Schools' Funding Forum, current projections are that the DSG will have an overspend of £2.7m at the end of the 2020-21 financial year.

2. The Purpose of the DSG Management Plan Template

In response to feedback from LAs and other stakeholders, the EFSA have designed a management plan template to help LAs to manage their DSG. ESFA has developed and enhanced this template in partnership with over 60 attendees from LAs, their representatives and other stakeholders via user research groups and collated feedback.

The template is intended to be a supportive tool to enable LAs to formulate and present their DSG management plans. It will be particularly useful for LAs when discussing and sharing proposals with internal and external bodies, including schools forum (particularly any high needs working groups), special educational needs and disabilities (SEND) partnership or oversight boards established by the council, parent and carer forums and relevant council committees. The template is designed to help LAs:

- comply with paragraph 5.2 of the DSG: conditions of grant 2020 to 2021
- monitor how DSG funding is being spent
- compare data on high needs spend between LAs
- highlight areas where LAs may wish to review spending
- form evidence-based and strategic future plans for the provision of children and young people with SEND
- present complex funding information simply to schools forums and other external stakeholders
- provide a consistent reporting format to help LAs share best practice and initiatives

The template includes comparisons of high needs provision and spend, to help LAs in the production of the required plan.

3. Timeframe

The plan should be updated regularly and be presented at schools forum meetings regularly and at least on a termly basis. The LA should aim to present the first version of the plan to the schools forum before the deadline for block movement requests (January), if it is submitting one. The EFSA acknowledge that the management of DSG balances, both bringing spend in line with income and repaying deficits, will take time for some LAs. The template has been designed in such a way that it is intended to be a live document.

4. High Needs Benchmarking Data

The High Needs benchmarking data included with the DSG Management Plan Template is attached at Annex A. This will be examined at the meeting. Comparison is made between Havering and:

- Outer London
- England
- The five closest 'Statistical Neighbours'

Chart 1 shows that Havering has a lower proportion of children and young people with SEN statements or EHCPs than all three comparator groups.

Chart 2 breaks down the portion of CYP with SEN statements and EHCPs into where they are placed. Havering has a higher proportion in mainstream schools and Post 16, and a lower proportion in ARPs, special schools, Non Maintained Special Schools and independent schools, and hospital schools and AP.

Charts 3 – 6 provide a comparison of expenditure, broken down by category and phase of education. In 2019-20 Havering budgeted to spend less than the comparator groups on place funding, top-up funding, SEN support and inclusion services, and hospital education but more on alternative provision services.

When considering this data it should be noted that there may be some variation in the way that LAs categorise spend.

5. The Format of the DSG Management Template

The template in full is attached at Annex B.

The financial building blocks of the template are a series of tabs on which the LA shows projections for the number of placements in the different types of establishment, together with a projection of the corresponding costs. These are brought together in a financial summary which shows the projected expenditure if no mitigating action is taken and the projected expenditure after proposed mitigation.

A narrative is required for each placement type, outlining the key pressures behind changes in the data and projected trends. Also required is a summary of the current strategy and approach to provision in each placement type and how demographic pressures are managed. Current initiatives are outlined, together with how confident the LA is that they will add value.

Details of stakeholder engagement with the plan and intended future engagement is also detailed.

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High Needs Benchmarking Tool

Comparison of special provision and placements

Care should be taken in interpreting these charts. For example, the proportion of children and young people with SEN statements or EHC plans in mainstream schools will reflect both the pupil intake of the schools and the assessment practice and process in the LA. A lower proportion will not necessarily indicate that the schools are less inclusive of children and young people with SEN. The categories have been calculated per 1000 of 2 to 18 population to provide useful comparisons across LAs. **Please note there is currently no SEN data for the new LAs (Dorset (838) and Bournemouth, Christchurch and Poole (839)) in the benchmarking tool. The first SEN data for them collected in January 2020 and will be published in the next update of the tool.**

Your local authority	Year
A) Havering	2019-20
Viewing comparison with	
B) OUTER LONDON	2019-20
C) England	2019-20
D) Five closest statistical neighbours of Havering	2019-20

Chart 1: Number aged up to 25 with SEN statement or EHC plan (per 1000 of 2-18 population)

This chart compares the proportion of children and young people with SEN statements or EHC plans. Differences in proportions reflect not only differences in the level of needs but also variations between local authorities in the way that SEN assessments are undertaken, EHC plans are produced and special provision is made.

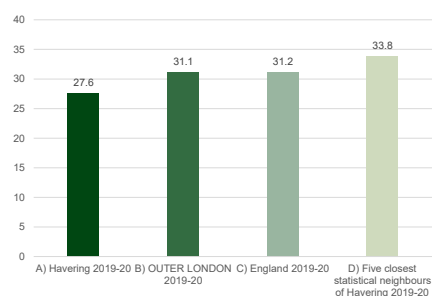
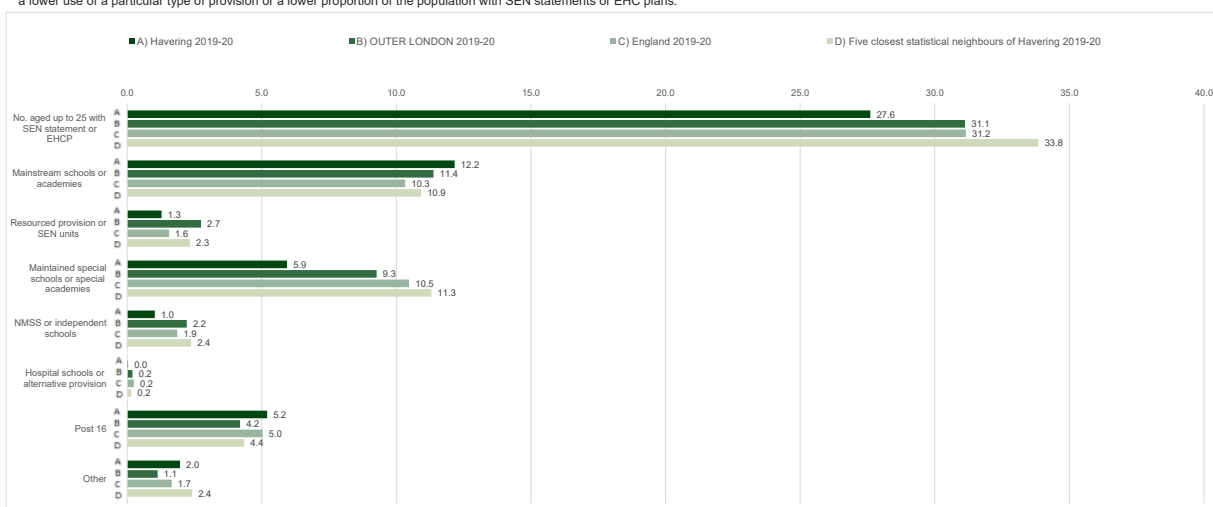


Chart 2: Placement of pupils aged up to 25 with SEN statement or EHC plan (per 1000 of 2-18 population)

This chart breaks down the proportion of children and young people with SEN statements or EHC plans into where they are placed. The categories of special provision are explained in more detail in the "Glossary and sources" worksheet and the data can be found in data table 2. Differences between local authorities should be interpreted with care. For example, lower numbers could reflect a lower use of a particular type of provision or a lower proportion of the population with SEN statements or EHC plans.



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High Needs Benchmarking Tool

Comparison of section 251 budget and outturn data

This sheet uses data from local authorities' section 251 budget and outturn returns, which have been submitted in line with the guidance for the relevant year, for example 2019-20 :

<https://www.gov.uk/guidance/section-251-2019-to-2020>

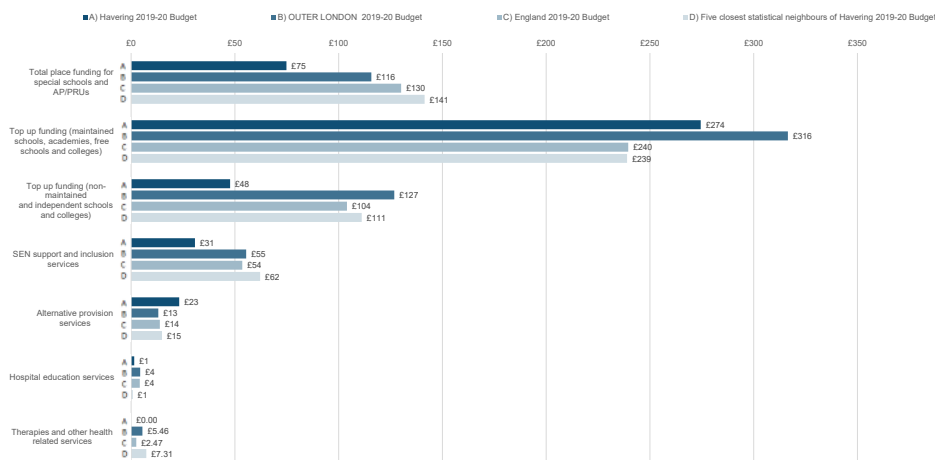
The categories have been combined and calculated per head of the 2-18 population to provide useful comparisons of spend. It is important to understand the context of local authorities' expenditure patterns, and not to consider these figures in isolation.

Chart 3: High needs amount per head of 2-18 population

This chart compares budgeted and/or outturn spend per head, using aggregated section 251 categories as explained in the "Glossary and sources" worksheet. The data can be found in data table 3.

Note that place funding includes academies for the budget but excludes academies for outturn.

Note that the place funding category includes special schools and academies and PRUs and AP academies to enable comparison across years (refer to the "Glossary and sources" worksheet for category changes in 2018-19).



The following charts disaggregate local authority funding; top up funding (maintained schools, academies, free schools and colleges); and top up funding (non-maintained and independent schools and independent schools and colleges) into phase and institution type and income, for the selected comparators. This does not include expenditure on very young children with SEN or a disability which some local authorities make from their early years budgets. Differences can reflect both variations in spend and variations in the make-up of the local authority – for example, a greater proportion of secondary schools than the comparator.

Chart 4: High needs amount per head of 2-18 population: place funding split by phase (for mainstream) and type of institution (for specialist provision)

Note that place funding for Primary and Secondary schools was included for the first time in 2018-19. If a year prior to this is selected this category will be blank.

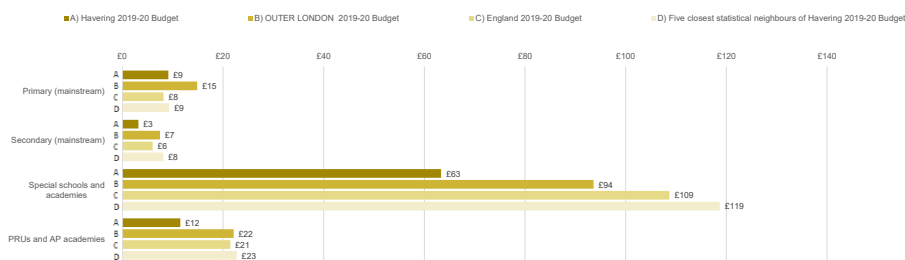


Chart 5: High needs amount per head of 2-18 population: top up funding (maintained schools, academies, free schools and colleges) split by phase (for mainstream) and type of institution (for specialist provision)

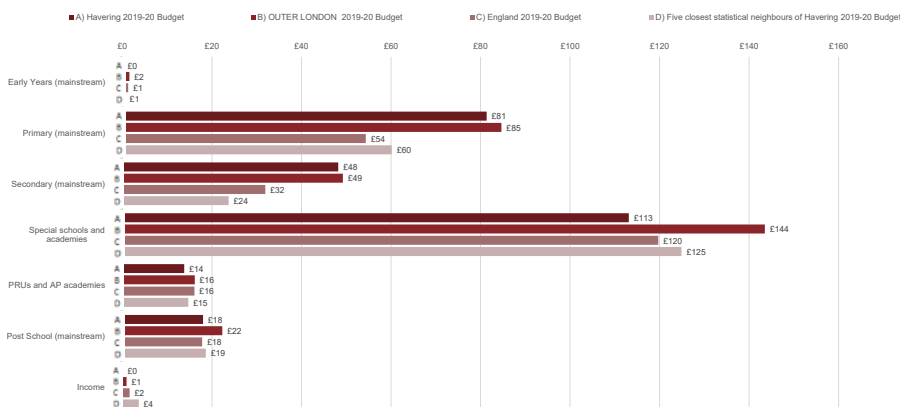
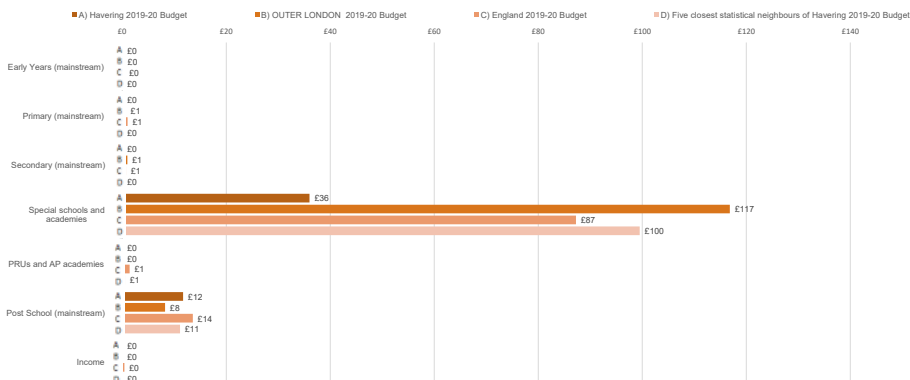


Chart 6: High needs amount per head of 2-18 population: top up funding (non-maintained and independent schools and colleges) split by phase (for mainstream) and type of institution (for specialist provision)



Date

[illegible]

Management Plan introduction

Cell and tab colour guide

Summary tab (Some user narrative and data input)

Introduction

Narrative tab (user narrative input)

Placement type tab (user data input)

[Data from the High Needs Benchmarking Tool v6a](#)

User input cells - Editable - this is where you (the user) will need to enter data

Prepopulated cells - Un-editable/prepopulated

Calculation cells - Automatic calculation outputs/un-editable

Purpose of completing a management plan

It is a requirement of the DSG: conditions of grant 2020 to 2021 (paragraph 5.2) that local authorities (LAs) have a plan in place to manage their overspend on the DSG: [DSG: conditions of grant 2020 to 2021](#)

To help local authorities (LAs) meet this requirement we have provided this DSG management plan template. The template will help all LAs to focus attention on comparison of high needs provision and spend, to produce the required plan. We encourage all LAs to use the template as a planning tool.

This template will help LAs:

- comply with paragraph 5.2 of the DSG: conditions of grant 2020 to 2021
- monitor how DSG funding is being spent
- compare data on high needs spend between LAs
- highlight areas where LAs may wish to review spending
- form evidence-based and strategic future plans for the provision of children and young people with special education needs and disabilities (SEND)
- present complex funding information simply to schools forums and other external stakeholders
- endeavours to provide assurances that LAs are achieving value for money from their DSG spend
- provide a consistent reporting format to help LAs share best practice and initiatives

We expect the plan to be updated and shared in your schools forum meetings and high needs subgroups regularly and at least on a termly basis. You should aim to present the first version of the plan to the schools forum in time for budget planning discussions for 2021 to 2022 and before the deadline for block movement requests, if submitting one. We expect the management plan to be signed off by the Director of Children's Services and the s151 officer within your local authority (LA) and across other areas which have also contributed.

If you have any issues completing this template then please contact the Financial Management mailbox:

Financial.management@education.gov.uk

Further guidance on DSG balances can be found on pages 46 to 48 of the

[Schools revenue funding 2021 to 2022 operational guide](#)

Template contents

Links are clickable to each tab:

[Summary](#) - Summary: Financial / Children and Young People (CYP) narrative

[Financial](#) - Financial summary

[CYP](#) - Children and Young People (CYP) summary

[Governance](#) - Governance and Management

[Stakeholders](#) - Stakeholder engagement, co-production and consultation

[LA Specific](#) - Local Authority (LA) Specific Narrative

[Placements](#) - Placement type narrative

[Mainstream](#) - Mainstream schools or academies placements

[Resourced or SEN units](#) - Resourced provision or SEN Units placements

[Special Schools](#) - Maintained special schools or special academies placements

[NMSS or independent](#) - Non-maintained special schools or independent (NMSS or independent) placements

[Hospital schools or AP](#) - Hospital schools or alternative provision (AP) placements

[Post 16 and FE](#) - Post 16 and further education (FE) placements

[Health, Social Care](#) - Health, Social Care, Therapy Services and Care Provision

[Other](#) - Other placements or direct payments

[Compare SEN](#) - High Needs Benchmarking Tool: Comparison of special provision and placements

[Compare s251](#) - High Needs Benchmarking Tool: Comparison of section 251 budget and outturn data

[Compare high needs NFF](#) - High Needs Benchmarking Tool: Comparison of high needs national funding formula illustrative allocations

Data

This template contains some pre-populated data. These are published figures that have been submitted to the department in the SEN2, S251 and school census collections.

S251 data is used on the Financial tab and each of the placement tabs. The published figures can be found here:

[s251 budget and outturn returns for 2017 to 2018](#)

[s251 budget and outturn returns for 2018 to 2019](#)

School census data is used for the number of EHCP and statements in the table "Total number of EHCP's by primary need (with estimated future projections)" on the CYP tab. The published figures can be found here, under the 'Download associated files' dropdown:

[School census data](#)

SEN2 data is used for the number of statements and EHCPs in the table "Total number of EHCPs by age group (with estimated future projections)". This data is taken from the previous January census which details the number of children and young people with special educational needs (SEN) statements and EHCPs as provided by local authorities (LAs) in January and the data being published each year in May subsequent to the January collection.

[Education, health and care plans](#)

To note: there is a caveat that the census data only collects school aged pupils and therefore does not include further education (FE) and 'other' groups such as work based placements and young people not in education, employment or training (NEETS) with EHCPs.

[For reference SEN2 data includes information on the following cohorts:](#)

- o Post 16
- o FE colleges
- o other FE
- o sixth forms
- o special establishment

- o educated elsewhere
- o not in education, employment or training
- o other apprenticeships
- o traineeships
- o supported internships

Your forecast EHCP and pupil numbers should take into account the CYP currently receiving support as reported on the high needs census and projected numbers rather than an annual average.

Placement details have been categorised as follows:

Mainstream schools or academies	Maintained mainstream schools (including foundation schools) Mainstream academies (including free schools)
Resourced provision or SEN units	Resourced provision in maintained mainstream schools and academies SEN units in maintained mainstream schools and academies
Maintained special schools or special academies	Maintained special schools (including foundation schools) Special academies (including special free schools)
NMSS or independent schools	Non-maintained special schools, independent special schools and other independent schools
Hospital Schools or Alternative Provision	Maintained hospital schools (including foundation schools) and pupil referral units Hospital schools that are academies, and alternative provision academies (including free schools)
Post 16 and Further Education (FE)	General further education and tertiary colleges/higher education Sixth form colleges Special post 16 institution Other further education
Other	Children and young people with a SEN statement or EHC plan for whom other arrangements have been made by parents or a local authority. Children and young people with a statement or EHC plan who were awaiting provision.

Glossary of terminology

Children and young people (CYP):	
Under 5 years of age	Under school age
Aged 5-10	Primary
Aged 11-15	Secondary
Aged 16-19	Further Education
Aged 20-25	Further Education
Primary Need	
ASD	Autistic Spectrum Disorder
HI	Hearing Impairment
MLD	Moderate Learning Difficulty
MSI	Multi-Sensory Impairment
PD	Physical difficulty
P&MLD	Profound & Multiple Learning Difficulty
SEMH	Social, Emotional and Mental Health
SLCN	Speech, Language and Communication needs
SLD	Severe Learning Difficulty
SPLD	Specific Learning Difficulty
VI	Visual Impairment
Other	Other Difficulty / Disability
Provision Type	
AP	Alternative Provision
PRU	Pupil Referral Unit
NEETS	not in education, employment or training
Financial:	
Mitigated	if measures are put in place
Unmitigated	if no measures are put in place
Outturn	actual projected spend
SEND	Special Educational Needs and Disabilities
PCF	Parent Carer Forum
CCG	Clinical commissioning service

Summary: Financial / Children and Young People (CYP) narrative

Financial plan narrative

This is a brief description for managing the pressures on the DSG:

High needs trends

Our strategy for managing the number of CYP receiving individual funding from the high needs block:

Outcomes

How our management plan will ensure the best possible outcomes for children and young people with special educational needs and disabilities (SEND) in the local area:

Children and Young People (CYP) summary

Children and young people with education, health and care plans (EHCPs) or receiving top ups

All the cells on this tab are either pre populated or calculated from user input on other tabs. There are overview graphs following the table summaries

Total number of EHCPs by age group (with estimated future projections)									
Jan	2018	2019	2020	2021	2022	2023	2024	2025	
Under 5	53	51	59	0	0	0	0	0	0
Age 5 to 10	486	530	560	0	0	0	0	0	0
Age 11 to 15	447	494	530	0	0	0	0	0	0
Age 16 to 19	271	351	364	0	0	0	0	0	0
Age 20 to 25	71	108	170	0	0	0	0	0	0
Total number of EHCPs by Age Group	1,328	1,534	1,693	0	0	0	0	0	0

Total number of CYP receiving individual top ups with no EHCP by age group (with estimated future projections)									
Jan	2018	2019	2020	2021	2022	2023	2024	2025	
Under 5	0	0	0	0	0	0	0	0	0
Age 5 to 10	0	0	0	0	0	0	0	0	0
Age 11 to 15	0	0	0	0	0	0	0	0	0
Age 16 to 19	0	0	0	0	0	0	0	0	0
Age 20 to 25	0	0	0	0	0	0	0	0	0
Total number of EHCPs by Age Group	0	0	0	0	0	0	0	0	0

Total number of CYP supported by the high needs block with no EHCP or individual top up (with estimated future projections)									
Jan	2018	2019	2020	2021	2022	2023	2024	2025	
Under 5	0	0	0	0	0	0	0	0	0
Age 5 to 10	0	0	0	0	0	0	0	0	0
Age 11 to 15	0	0	0	0	0	0	0	0	0
Age 16 to 19	0	0	0	0	0	0	0	0	0
Age 20 to 25	0	0	0	0	0	0	0	0	0
Total number of CYP by Age Group	0	0	0	0	0	0	0	0	0

Total number of EHCPs by primary need (with estimated future projections)									
Jan	2018	2019	2020	2021	2022	2023	2024	2025	
Autistic Spectrum Disorder	0	0	0	0	0	0	0	0	0
Hearing Impairment	0	0	0	0	0	0	0	0	0
Moderate Learning Difficulty	0	0	0	0	0	0	0	0	0
Multi-Sensory Impairment	0	0	0	0	0	0	0	0	0
Physical Disability	0	0	0	0	0	0	0	0	0
Profound & Multiple Learning Difficulty	0	0	0	0	0	0	0	0	0
Social, Emotional and Mental Health	0	0	0	0	0	0	0	0	0
Speech, Language and Communications needs	0	0	0	0	0	0	0	0	0
Severe Learning Difficulty	0	0	0	0	0	0	0	0	0
Specific Learning Difficulty	0	0	0	0	0	0	0	0	0
Visual Impairment	0	0	0	0	0	0	0	0	0
Other Difficulty/Disability	0	0	0	0	0	0	0	0	0
SEN support but no specialist assessment of type of need	0	0	0	0	0	0	0	0	0
Total number of EHCPs by primary need	0	0	0	0	0	0	0	0	0

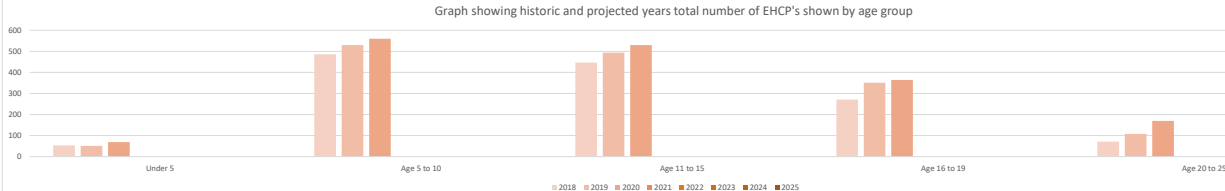
Total number of EHCPs by provision type (with estimated future projections from each placement tab using EHCP age group data)									
Jan	2018	2019	2020	2021	2022	2023	2024	2025	
Mainstream schools or academies									
Resourced Provision or SEN Units									
Maintained special schools or special academies									
NHSS or independent schools									
Hospital schools or Alternative Provision									
Post 16									
Other									
Total number of EHCPs by placement type									

Published census data - prepopulated

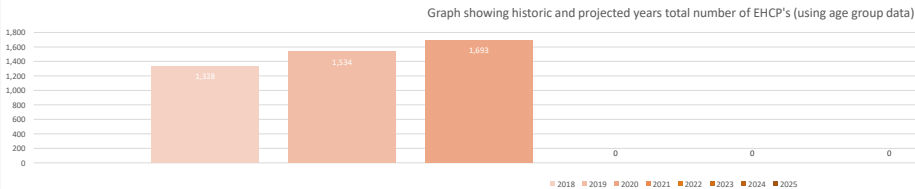
Total number of EHCPs by primary need

2018	2019	2020	
230	243	269	Autistic Spectrum Disorder
37	37	30	Hearing Impairment
155	154	109	Moderate Learning Difficulty
3	5	8	Multi-Sensory Impairment
56	55	51	Physical Disability
50	50	65	Profound & Multiple Learning Difficulty
86	109	134	Social, Emotional and Mental Health
196	218	277	Speech, Language and Communications needs
116	126	181	Severe Learning Difficulty
21	22	30	Specific Learning Difficulty
15	18	18	Visual Impairment
19	25	28	Other Difficulty/Disability
			SEN support but no specialist assessment of type of need
984	1,062	1,200	Total number of EHCPs by primary need

Graph showing historic and projected years total number of EHCPs shown by age group



Graph showing historic and projected years total number of EHCPs (using age group data)



Financial summary

Summary of 2020 to 2021 position

	£ , 000s
Carry forward from 2019 to 2020	£21,870
Mitigated budget	£0
Unmitigated budget	£0
Saving	£0
Projected carry forward to 2021 to 2022	£21,870

Financial plan per funding block

	Date outturn last updated:													
Overall DSG position (pre recruitment total)	2018-19	2019-20	2019-20	2020-21	2020-21	2020-21	2021-22	2021-22	2022-23	2022-23	2023-24	2023-24	2024-25	2024-25
Income/surplus should be shown as negative	actual	budget	actual	Outturn	Mitigated budget	Unmitigated forecast	Mitigated forecast	Unmitigated forecast	Mitigated forecast	Unmitigated forecast	Mitigated forecast	Unmitigated forecast	Mitigated forecast	Unmitigated forecast
1. Expenditure (Positive figures)														
Schools block						£0		£0		£0		£0		£0
Central schools services block						£0		£0		£0		£0		£0
Early years block														
High needs block	£21,869,531		£0		£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Planned spend from DSG reserves														
Total expenditure	£21,869,531	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
2. DSG income (Negative figures)														
Schools block				£0		£0		£0		£0		£0		£0
Central schools services block				£0		£0		£0		£0		£0		£0
Early years block								£0		£0		£0		£0
High needs block				£0		£0		£0		£0		£0		£0
Total income	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
3. High needs block - other income (Negative figures)														
CCG contributions														
Other (Please specify)														
Total other income	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
4. Block transfers (Income/Block moved to as negative, Outgoing/block moved from as positive. Should net to 0)														
Schools block				£0										
Central schools services block				£0										
Early years block				£0										
High needs block				£0										
Total Block Transfers (should net to 0)	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
5. In year net position deficit / (surplus)														
Schools block	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Central schools services block	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Early years block	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
High needs block	£21,869,531	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Total net	£21,869,531	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
6. Other														
Council contribution (negative)														
Add brought forward deficit / (surplus) (net)		£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531
Brought forward earmarked amounts in other blocks (optional memorandum item, not used in calculation)														
Planned year end position	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531	£21,869,531

Other spend - historic and planned spend as per s251 lines

	Published data - prepopulated	Outturn	Total Projected Mitigated Expenditure (Forecast with Savings and invest to save measures)	Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions)
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Behaviour Support

	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25
1.1.2 Behaviour support services	£198,953	£201,577						
Total Expenditure	£198,953	£201,577						

Other SEND

	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25
2.1.1 Educational psychology service	£621,257	£732,872						
2.1.2 SEN administration, assessment and coordination and monitoring	£1,025,061	£936,141						
2.1.3 Independent Advice and Support Services (Parent Partnership), guidance and information	£89,101	£89,762						
3.4.2 Short breaks (respite) for disabled children	£478,116	£1,022,971						
Total Expenditure	£2,213,535	£2,781,746						

SEN Transport

	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25
1.4.11 SEN transport	£0	£0						
2.1.4 Home to school transport (pre 16): SEN transport expenditure	£2,257,498	£2,730,081						
2.1.6 Home to post-16 provision: SEN/ LLDD transport expenditure (aged 16-19)	£217,271	£241,340						
2.1.7 Home to post-16 provision: SEN/LLDD transport expenditure (aged 19-25)	£213,044	£300,033						
Total Expenditure	£2,687,813	£3,271,454						

High needs block - historic and planned spend as per s251 lines (populated from data in each tab)

	Published data - prepopulated			Outturn	Total Projected Mitigated Expenditure (Forecast with savings and invest to save measures)					Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions)				
	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Mainstream Total Expenditure	£7,505,731	£9,354,879												
Year on year change		£849,148												
Mainstream Total % change year on year		11%												
Resourced Provision or SEN Units Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change		£323,000												
Resourced Provision or SEN Units Total % change year on year														
Maintained Special Schools or Special Academies placements Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change	£4,178,212	£6,515,987												
Maintained Special Schools or Special Academies placements Total % change year on year		£2,337,775												
		56%												
Non maintained special schools or independent (NMSS or independent) placements Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change	£3,173,508	£3,640,492												
NMSS or independent Total % change year on year		£468,984												
		15%												
Hospital Schools or Alternative Provision placements Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change	£1,801,223	£1,550,669												
Hospital Schools or AP placements Total % change year on year		£-250,554												
		-14%												
Post 16 placements Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change	£1,562,154	£1,484,503												
Post 16 placements Total % change year on year		£-77,651												
		-5%												
LA Specific spending Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change	£0	£0												
LA Specific spending Total % change year on year		£0												
Health, Social Care, Therapy Services and Care Provision Total Expenditure	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25		2020-21	2021-22	2022-23	2023-24	2024-25
Year on year change	£0	£0												
Health, Social Care, Therapy Services and Care Provision Total % change year on year		£0												

Governance and Management

Sign off and review of the management plan

Our management plan has been reviewed and signed off by relevant local authority colleagues and will be continually monitored and updated:

Our management plan has been reviewed and signed off by our SEND Governance Board (or equivalent)

Our management plan has been discussed and is supported by our schools forum:

[illegible]

Workstream log

[illegible]

Placement type narrative

These links will take you to the relevant narrative

[Mainstream](#)

[Resourced provision or SEN Units placements](#)

[Maintained special schools or special academies placements](#)

[NMSS or independent](#)

[Hospital schools and Alternative Provision](#)

[Post 16 and further education](#)

[Health & Social Care](#)

[Other placements or direct payments](#)

Mainstream (mainstream schools or academies placements)

These have been / are our key pressures and issues in mainstream and the reasons behind the changes in the data and projected trends for the next 5 years:

Summary of our current strategy and approach to mainstream provision including our proposals to invest long term to meet a wider range of needs:
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in mainstream provision and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

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Resourced provision or SEN Units placements

These have been / are our key pressures and issues in resourced provision or SEN Units placements and the reasons behind the changes in the data and projected trends for the next 5 years:

Summary of our current strategy and approach to resourced provision or SEN Units placements including our proposals to invest long term to meet a wider range of needs:
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in resourced provision or SEN Units placements and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

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Maintained special schools or special academies placements

These have been / are our key pressures and issues in maintained special schools or special academies placements and the reasons behind the changes in the data and projected trends for the next 5 years:

Summary of our current strategy and approach to maintained special schools or special academies placements including our proposals to invest long term to meet a wider range of needs:
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in maintained special schools or special academies placements and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

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NMSS or independent (non-maintained special schools or independent (NMSS or independent) placements)

These have been / are our key pressures and issues in NMSS or independent and the reasons behind the changes in the data and projected trends for the next 5 years:

The current initiatives we are trialling in NMSS or independent provision and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

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Hospital schools or AP (hospital schools or alternative provision (AP) placements)

These have been / are our key pressures and issues in hospital schools or AP and the reasons behind the changes in the data and projected trends for the next 5 years:

Our current strategy and approach to hospital schools or AP provision including our proposals to invest long term to meet a wider range of needs:
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in hospital schools or AP provision and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

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Post 16 and FE (Post 16 and further education (FE) placements)

These have been / are our key pressures and issues in post 16 and further education and the reasons behind the changes in the data and projected trends for the next 5 years:

Our current strategy and approach to post 16 and further education provision including our proposals to invest long term to meet a wider range of needs:
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in post 16 and further education provision and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

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Health, Social Care (health, social care, therapy services and care provision)

A summary of the inputs made by our partners and the proportion of current costs which are covered for health and social care needs of our children and young people (CYP):

What we are doing to ensure there are appropriate contributions from health and social care services to ensure we are meeting these needs of our CYP:

A brief outline of current and future demand for therapy services and arrangements that we have with health services to manage and meet this demand, including our input into this service:

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Other (other placements or direct payments)

These have been / are our key pressures and issues in other placements or direct payments and the reasons behind the changes in the data and projected trends for the next 5 years:

Our current strategy and approach to other placements or direct payments provision including our proposals to invest long term to meet a wider range of needs:
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in other placements or direct payments provision and how these are going:
The reasons we have chosen these initiatives:
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

Stakeholder engagement, co-production and consultation

Schools forum

How we have engaged or propose to engage with schools forum regarding these plans (Including any feedback or comments from schools forum):

Evidence of consultation with our schools forum:

How we intend to ensure future engagement with schools forum regarding our plans

Education institutions

How we have engaged or propose to engage with Early Years, schools, colleges and other education institutions regarding these plans (Including any feedback or comments):

How education institutions have been involved, including their responsibilities in our plan proposals

Parents and carers

How we have engaged or propose to engage with parents and carers regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with parents and carers regarding our plans:

Children and young people

How we have engaged or propose to engage with children and young people regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with children and young people regarding our plans:

Elected members (councillors, mayors)

How we have engaged or propose to engage with elected members regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with elected members regarding our plans:

Health partners

How we have engaged or propose to engage with health partners regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with health partners regarding our plans:

Local Authority (LA) Specific Narrative

Key risks and mitigations

Our key risks and mitigations are detailed below:

Management plan support

What support we need to ensure we deliver our management plan effectively:

Overall EHCP data and projected trends

Our main drivers statistically regarding all our EHCP rates are as follows:

Strategy and approach to workforce

What we are doing to support education establishments to meet the needs of children and young people (CYP) with special educational needs and disabilities (SEND) and to promote inclusive

Strategy and approach to EHCP rates

How we are ensuring we have the provision in place for all CYP with different needs:

Managing demand pressures

What we are doing to manage demand pressures and the overall increase in numbers requiring support from the high needs block, including the demand from the 20-25 cohort of young people:

How we assess the threshold for our EHCP numbers:

Sharing best practice and effective practices

How we are sharing best practice and effective practices, including how we are doing this alongside other local authorities:

Assumptions

How we have arrived at these projected numbers - the formula we have used to arrive at the calculations and assumptions we have made, including why we have made these assumptions:

Block movements and disapplications

These are our plans around block movements and disapplications for future years and how these fit into our overall strategy:

Population

These are our assumptions regarding population growth within the local authority and how we have arrived at these calculations, including why we have made these assumptions:

Governance and commissioning arrangements with CCGs

This is our approach to jointly commissioning services for CYP with SEND:
How we are using and will continue to strengthen our jointly commissioning arrangements for CYP with SEND:

Capital

These are our plans regarding capital investment and how this will support our overall high needs strategy:

Early years

Thes are our key strategies to support early years:

Special educational needs (SEN) transport costs

This shows a year by year breakdown of our SEN transport costs:
Please include any breakdown of any costs charged to the DSG

SEN other costs

This free text box should provide a summary of your other costs charged to the high needs block of the DSG:

Mainstream schools or academies placements

Data

	Published outturn data - prepopulated		Outturn	Total Projected Mitigated Expenditure (Forecast with Savings and invest to save measures)						Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions)				
	2017-18	2018-19		2019-20	2020-21	2021-22	2022-23	2023-24	2024-25	2020-21	2021-22	2022-23	2023-24	2024-25
Primary														
1.2.1 Top up funding - maintained schools	£2,819,179	£3,287,103												
1.2.2 Top-up funding – academies, free schools and colleges	£355,462	£385,251												
1.2.4 Additional high needs targeted funding for mainstream schools and academies	£94,936	£137,085												
1.2.5 SEN support services	£590,035	£555,350												
1.2.8 Support for inclusion	£0	£38,269												
Secondary														
1.2.1 Top up funding - maintained schools	£217,102	£51,328												
1.2.2 Top-up funding – academies, free schools and colleges	£2,315,321	£2,318,153												
1.2.4 Additional high needs targeted funding for mainstream schools and academies	£117,798	£172,142												
1.2.5 SEN support services	£387,925	£306,610												
1.2.8 Support for inclusion	£113,289	£32,689												
Early Years														
1.2.1 Top up funding - maintained schools	£9,010	£0												
1.2.2 Top-up funding – academies, free schools and colleges	£1,187	£0												
1.2.4 Additional high needs targeted funding for mainstream schools and academies	£0	£0												
1.2.5 SEN support services	£474,487	£470,920												
1.2.8 Support for inclusion	£0	£0												
Total Expenditure	£7,505,731	£8,354,879												

Number of EHCPs by Age Group in mainstream (with estimated future projections)

	2018	2019	2020	2021	2022	2023	2024	2025
Jan								
Under 5								
Age 5 to 10								
Age 11 to 15								
Age 16 to 19								
Age 20 to 25								
Total number by Age Group								

Number of CYP receiving top ups with no EHCP by age group (with estimated future projections)

	2018	2019	2020	2021	2022	2023	2024	2025
Jan								
Under 5								
Age 5 to 10								
Age 11 to 15								
Age 16 to 19								
Age 20 to 25								
Total number by Age Group								

Total number of CYP supported by the high needs block (with estimated future projections)

	2018	2019	2020	2021	2022	2023	2024	2025
Jan								
Under 5								
Age 5 to 10								
Age 11 to 15								
Age 16 to 19								
Age 20 to 25								
Total number by Age Group								

Number of CYP supported by Primary Need in mainstream (with estimated future projections)

	2018	2019	2020	2021	2022	2023	2024	2025
Jan								
Autistic Spectrum Disorder								
Hearing Impairment								
Moderate Learning Difficulty								
Multi-Sensory Impairment								
Physical Disability								
Profound & Multiple Learning Difficulty								
Social, Emotional and Mental Health								
Speech, Language and Communications needs								
Severe Learning Difficulty								
Specific Learning Difficulty								
Visual Impairment								
Other Difficulty/Disability								
SEN support but no specialist assessment of type of need								
Total number of EHCPs by primary need								

Non-maintained special schools or independent (NMSS or independent) placements

Data													
1.2.3 Top-up and other funding – non-maintained and independent providers	Published outturn data - prepopulated		Outturn	Total Projected Mitigated Expenditure (Forecast with Savings and invest to save measures)					Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions)				
	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25	2020-21	2021-22	2022-23	2023-24	2024-25
	£3,173,508	£3,640,492											
Total Expenditure			£3,173,508	£3,640,492									
Number of EHCPs by age group in NMSS or independent (with estimated future projections)													
Jan	2018	2019	2020	2021	2022	2023	2024	2025					
Under 5													
Age 5 to 10													
Age 11 to 15													
Age 16 to 19													
Age 20 to 25													
Total number s by Age Group													
Number of CYP receiving top ups with no EHCP by age group (with estimated future projections)													
Jan	2018	2019	2020	2021	2022	2023	2024	2025					
Under 5													
Age 5 to 10													
Age 11 to 15													
Age 16 to 19													
Age 20 to 25													
Total number by Age Group													
Total number of CYP supported by the high needs block (with estimated future projections)													
Jan	2018	2019	2020	2021	2022	2023	2024	2025					
Under 5													
Age 5 to 10													
Age 11 to 15													
Age 16 to 19													
Age 20 to 25													
Total number by Age Group													
Number of CYP supported by primary need in NMSS or independent (with estimated future projections)													
Jan	2018	2019	2020	2021	2022	2023	2024	2025					
Autistic Spectrum Disorder													
Hearing Impairment													
Moderate Learning Difficulty													
Multi- Sensory Impairment													
Physical Disability													
Profound & Multiple Learning Difficulty													
Social, Emotional and Mental Health													
Speech, Language and Communications needs													
Severe Learning Difficulty													
Specific Learning Difficulty													
Visual Impairment													
Other Difficulty/Disability													
SEN support but no specialist assessment of type of need													
Total number of EHCPs by primary need													

Non-maintained special schools or independent (NMSS or independent) placements

Data											
				Published outturn		Total Projected Mitigated Expenditure (Forecast with Savings and invest to save measures)					
				data - prepopulated		Outturn					
				2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25
1.2.3 Top-up and other funding – non-maintained and independent providers				£3,173,508	£3,640,492						
Total Expenditure				£3,173,508	£3,640,492						
Number of EHCPs by age group in NMSS or independent (with estimated future projections)											
Jan				2018	2019	2020	2021	2022	2023	2024	2025
Under 5											
Age 5 to 10											
Age 11 to 15											
Age 16 to 19											
Age 20 to 25											
Total number s by Age Group											
Number of CYP receiving top ups with no EHCP by age group (with estimated future projections)											
Jan				2018	2019	2020	2021	2022	2023	2024	2025
Under 5											
Age 5 to 10											
Age 11 to 15											
Age 16 to 19											
Age 20 to 25											
Total number by Age Group											
Total number of CYP supported by the high needs block (with estimated future projections)											
Jan				2018	2019	2020	2021	2022	2023	2024	2025
Under 5											
Age 5 to 10											
Age 11 to 15											
Age 16 to 19											
Age 20 to 25											
Total number by Age Group											
Number of CYP supported by primary need in NMSS or independent (with estimated future projections)											
Jan				2018	2019	2020	2021	2022	2023	2024	2025
Autistic Spectrum Disorder											
Hearing Impairment											
Moderate Learning Difficulty											
Multi- Sensory Impairment											
Physical Disability											
Profound & Multiple Learning Difficulty											
Social, Emotional and Mental Health											
Speech, Language and Communications needs											
Severe Learning Difficulty											
Specific Learning Difficulty											
Visual Impairment											
Other Difficulty/Disability											
SEN support but no specialist assessment of type of need											
Total number of EHCPs by primary need											

Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions)					
2020-21	2021-22	2022-23	2023-24	2024-25	

Data	
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Data	
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Health, Social Care, Therapy Services and Care Provision

Data

[illegible]

[illegible]